

*Receipt*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

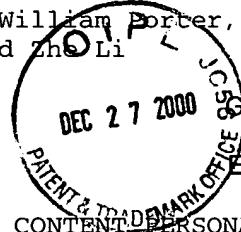
Attorney Docket No.: TLME-99-001.3.US

I hereby certify that this transmittal of the below described document is being deposited with the United States Postal Service in an envelope bearing First Class Postage and addressed to the Commissioner of Patents and Trademarks, Box AF, Washington, D.C., 20231, on the below date of deposit.

Date of Deposit:	12/19/00	Name of Person Making the Deposit:	DESIREE CALDWELL	Signature of the Person Making the Deposit:	<i>Desiree Caldwell</i>
------------------	----------	------------------------------------	------------------	---	-------------------------

Inventor(s): Hadi Partovi, Roderick Steven Brathwaite, Angus MacDonald Davis, Michael S. McCue, Brandon William Porter, John Giannandrea, Eckart Walther, Anthony Accardi and Zhe Li

Serial No.: 09/513,236



Group Art Unit: 2768

Filed: 02/24/00

Examiner:

Title: METHOD AND APPARATUS FOR CONTENT PERSONALIZATION OVER A TELEPHONE INTERFACE WITH ADAPTIVE PERSONALIZATION

Assistant Commissioner for Patents  
Washington, D.C. 20231

**RECEIVED**

MAY 15 2001

Technology Center 2100

REQUEST FOR CORRECTED FILING RECEIPT

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.
2. There is an error with respect to the following data, which is:
 

incorrectly entered  
and/or  
 omitted.

Error In

1.  Applicant's name
2.  Applicant's address
3.  Title
4.  Filing Data
5.  Serial Number
6.  Foreign/PCT Application Re:
7.  Other

Correct Data

- 1.
2. Roderick Steven Brathwaite,  
Livermore, California
- 3.
- 4.
- 5.
- 6.
- 7.

3.

A.  The correction(s) is/are not due to any error by the Applicant and therefore no fee is due.

**OR**

B.  At least one of the above corrections is due to applicant's error and the fee therefor, under CFR 1.19(h), of \$25.00 is paid as follows:

[ ] Enclosed is check for \$25.00.

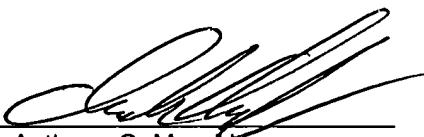
[ ] Charge Account 23-0085 \$25.00.

Please direct all correspondence concerning the above-identified application to the following address:

**WAGNER, MURABITO & HAO LLP**  
Two North Market Street, Third Floor  
San Jose, California 95113  
(408) 938-9060

Respectfully submitted,

Date: 12/19/2000

By:   
Anthony C. Murabito  
Reg. No. 35,295



## UNITED STATES PATENT AND TRADEMARK OFFICE

TLM6-9901-3

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/513,236	02/24/2000	2768	449	22379-707	4	15	4

WAGNER, MURABITO & HAO LLP  
TWO NORTH MARKET STREET  
THIRD FLOOR  
SAN JOSE, CA 95113



## CORRECTED FILING RECEIPT



\*OC00000005443399\*

Date Mailed: 10/02/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

- Hadi Partovi, San Francisco, CA ;
- Roderick Steven Brathwaite, Livermore, CA ;
- Angus MacDonald Davis, Sunnyvale, CA ;
- Michael S McCue, Los Gatos, CA ;
- Brandon William Porter, Mountain View, CA ;
- John Giannandrea, Palo Alto, CA ;
- Eckart Walther, Redwood City, CA ;
- Anthony Accardi, Mountain View, CA ;
- Zhe Li, Daly City, CA ;

RECEIVED

MAY 15 2001

Technology Center 2100

## Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CON OF 09/426,102 10/22/1999

## Foreign Applications

RECEIVED

OCT 11 2000

WMH

If Required, Foreign Filing License Granted 04/20/2000

\*\* SMALL ENTITY \*\*

## Title

- Method and apparatus for content personalization over a telephone interface with adaptive personalization

DOCKETED  
Date: 10/12/00  
Initials: JSA

CDB

Preliminary Class

705

---

Data entry by : HEARN, BRIAN

Team : OP

Date: 10/02/2000



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

FILE COPY



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)



Bib Data Sheet

CONFIRMATION NO. 6204

SERIAL NUMBER 09/513,236	FILING DATE 02/24/2000 RULE 1.47	CLASS 705	GROUP ART UNIT 2768	ATTORNEY DOCKET NO. 22379-707
-----------------------------	--	--------------	------------------------	----------------------------------

## APPLICANTS

Hadi Partovi, San Francisco, CA;  
 Roderick Steven Brathwaite, Livermore, CA;  
 Angus MacDonald Davis, Sunnyvale, CA;  
 Michael S McCue, Los Gatos, CA;  
 Brandon William Porter, Mountain View, CA;  
 John Giannandrea, Palo Alto, CA;  
 Eckart Walther, Redwood City, CA;  
 Anthony Accardi, Mountain View, CA;  
 Zhe Li, Daly City, CA;

## \*\* CONTINUING DATA \*\*\*\*\*

THIS APPLICATION IS A CON OF 09/426,102 10/22/1999

## \*\* FOREIGN APPLICATIONS \*\*\*\*\*

IF REQUIRED, FOREIGN FILING LICENSE  
 GRANTED \*\* 04/20/2000

\*\* SMALL ENTITY \*\*

Foreign Priority claimed	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no	STATE OR COUNTRY CA	SHEETS DRAWING 4	TOTAL CLAIMS 15	INDEPENDENT CLAIMS 4
35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input checked="" type="checkbox"/> Met after Allowance				
Verified and Acknowledged	Examiner's Signature _____ Initials _____				

## ADDRESS

WAGNER, MURABITO & HAO LLP  
 TWO NORTH MARKET STREET  
 THIRD FLOOR  
 SAN JOSE , CA 95113

## TITLE

Method and apparatus for content personalization over a telephone interface with adaptive personalization

FILING FEE RECEIVED 449	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
----------------------------	---	---

